

## VERIFICATION OF TRANSLATION

Re : Japanese Patent Application No. 2003-338664

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540-6591, JAPAN, hereby declare that I am the translator of the documents  
attached and certify that the following is a true translation of the best of my  
knowledge and belief.

Dated this 5th day of July, 2007

  
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(Seal)

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**JAPAN PATENT OFFICE**

This is to certify that the annexed is a true copy of the following application as  
filed with this Office.

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Commissioner,

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Hiroshi OGAWA ( Seal )

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[List of Annexed Documents]

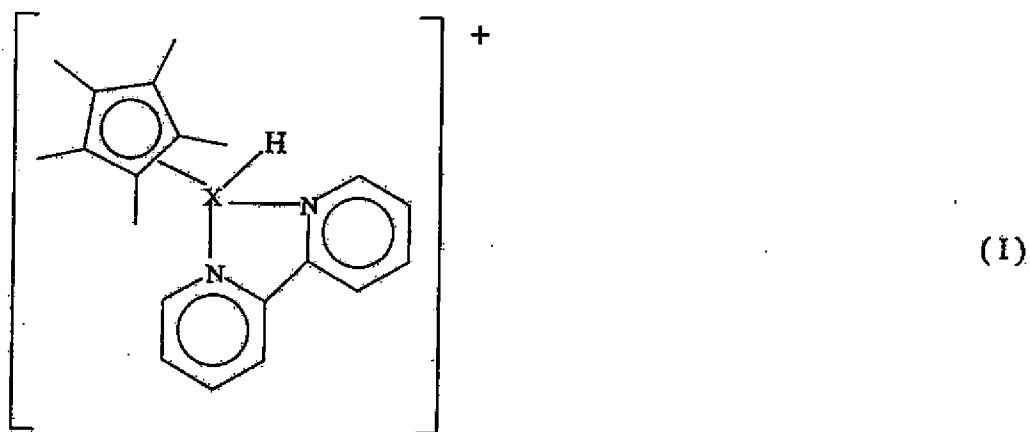
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[Document]      Claims

[Claim 1]

A photoacid generator comprising a metal hydride complex represented by the formula (I):

[Ka 1]



wherein X represents a metal atom.

[Claim 2]

The photoacid generator according to claim 1, wherein X in the formula (I) is iridium, ruthenium, rhodium or cobalt.

[Document] Specification

[Title of the Invention] Photoacid Generator

[Technical Field]

[0001]

The present invention relates to a photoacid generator. More specifically, the present invention relates to a photoacid generator which efficiently generates an acid upon irradiation of light. The photoacid generator can be suitably used in chemically-amplified photoresists, color filters for liquid crystal or the like.

[Background Art]

[0002]

As the photoacid generator for use in chemically-amplified photoresists, color filters for liquid crystal and the like, paramethoxystyryl triazine has been conventionally known (see, for example, Non-Patent Publication 1).

[0003]

Although paramethoxystyryl triazine is highly sensitive, it is disadvantageous in terms of unfavorable solubility and compatibility with a solvent.

[0004]

[Non-Patent Publication 1] G. Pohlers et al, "*Chem. Mater.*", 1997, 9(6), pp. 1353-1361

[Disclosure of the Invention]

[Problems to Be Solved by the Invention]

[0005]

The present invention has been accomplished in view of the above-mentioned prior art, and an object of the present invention is to provide a

photoacid generator showing excellent solubility in an organic solvent or water, as well as being highly sensitive to visible light.

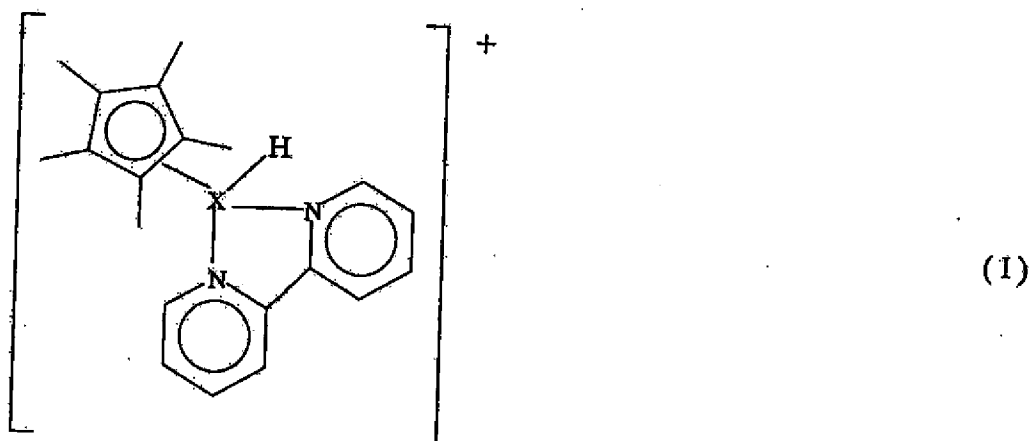
[Means to Solve the Problems]

[0006]

The present invention relates to a photoacid generator comprising a metal hydride complex represented by the formula (I):

[0007]

[Ka 1]



[0008]

wherein X represents a metal atom.

[Effects of the Invention]

[0009]

The photoacid generator of the present invention exhibits an effect of showing excellent solubility in an organic solvent or water, as well as being highly sensitive to visible light.

[Best Mode for Carrying out the Invention]

[0010]

The photoacid generator of the present invention comprises a metal hydride complex represented by the formula (I) (hereinafter simply referred to as "metal hydride complex"). In the formula (I), X represents a metal atom. Examples of preferred metal atoms include iridium, ruthenium, rhodium and cobalt. Among them, iridium is preferable.

[0011]

The metal hydride complex exhibits excellent solubility in an organic solvent or water, as well as being highly sensitive to visible light. Therefore, the photoacid generator of the present invention may be those including a metal hydride complex alone, or those including a metal hydride complex dissolved in an organic solvent or water.

[0012]

Examples of the organic solvent include polar organic solvents such as acetonitrile; primary alcohols typified by methanol and ethanol; secondary alcohol typified by isopropyl alcohol; tertiary alcohols typified by *t*-butyl alcohol; polyhydric alcohols typified by ethylene glycol; dimethyl formamide; dimethyl sulfoxide; and ethyl acetate. However, the present invention is not limited only to the illustrative examples.

[0013]

When the photoacid generator of the present invention is in the form of a solution of a metal hydride complex, the concentration of the metal hydride in the solution is not particularly limited. However, it is desired to be usually 0.1 to 5% by weight, and preferably 0.5 to 3% by weight.

[0014]

The metal hydride complex is a compound which can be readily obtained



by easily synthesizing in accordance with a method described, for example, on page 4150 in Watanabe et al, "*J. Am. Chem. Soc.*", 2003, **125(14)**, pp. 4149-4154.

[Examples]

[0015]

The metal hydride complex of the present invention will be hereinafter specifically explained on the basis of Examples, without intending to limit the scope of the present invention only to these Examples.

[0016]

Preparation Example 1

An iridium hydride complex was prepared according to a method described on page 4150 in Watanabe et al, "*J. Am. Chem. Soc.*", 2003, **125(14)**, pp. 4149-4154.

[0017]

The resulting compound was confirmed to be an iridium hydride complex with agreement of the  $^1\text{H}$ -NMR data of the resulting compound with the  $^1\text{H}$ -NMR data described in the aforementioned document, on page 4150.

[0018]

Example 1

The iridium hydride complex obtained in the Preparation Example 1 was dissolved in degassed methanol to prepare a solution having a concentration of the iridium hydride complex of  $2.4 \times 10^{-4}$  M.

[0019]

The resulting solution was irradiated with a laser beam having a wavelength  $\lambda$  of 430 nm at 10 mJ/pulse to excite the iridium hydride complex.

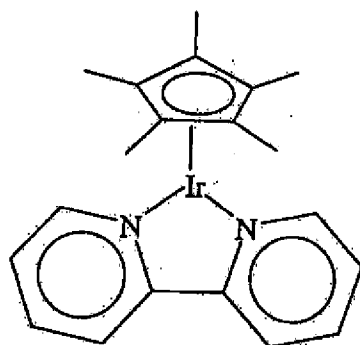
After 10  $\mu$ s, 4 ms or 12 ms passed from the irradiation of the laser beam, transient absorption spectrum at the wavelength of 300 to 800 nm was examined. The results are shown in Fig. 1.

[0020]

In Fig. 1, open circle ( $\circ$ ) indicates the data after 10  $\mu$ s passed from the irradiation of the laser beam; open triangle ( $\Delta$ ) indicates the data after 4 ms passed from the irradiation of the laser beam; and the open square ( $\square$ ) indicates the data after 12 ms passed from the irradiation of the laser beam. These transient absorption spectra were compared with the absorption spectra described in the document [M. Ladwig et al, "*J. Organomet. Chem.*", 1992, **439**(1), pp. 79-90], and consequently, were confirmed to be the iridium complex represented by the formula (II):

[0021]

[Ka 2]



(II)

[0022]

(hereinafter simply referred to as "iridium complex").

[0023]

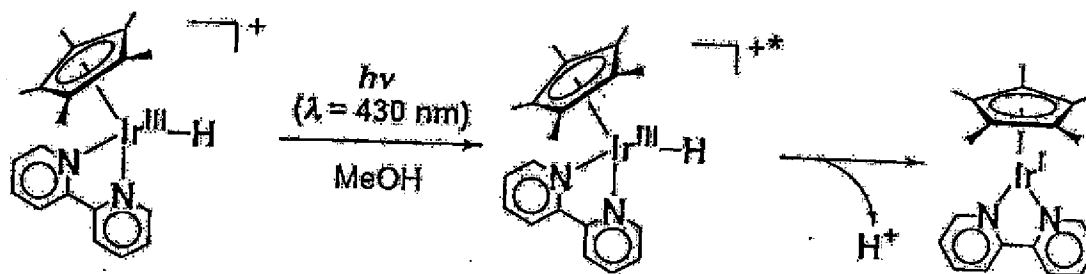
Also, it can be seen from the results shown in Fig. 1 that because deprotonation of the iridium hydride complex takes place in its excited state to

efficiently generate an acid upon irradiation of the laser beam as shown in the following Scheme 1:

[0024]

[Ka 3]

Scheme 1



[0025]

the iridium hydride complex efficiently serves as a photoacid generator

[0026]

### Example 2

The iridium hydride complex obtained in the Preparation Example 1 was dissolved in degassed methanol or  $\text{CH}_3\text{OD}$  to prepare a solution having a concentration of the iridium hydride complex of  $2.4 \times 10^{-4} \text{ M}$ .

[0027]

The resulting solution was irradiated with a laser beam having a wavelength of 430 nm at 10 mJ/pulse to excite the iridium hydride complex. Change with time of the absorbance at the wavelength of 490 nm was examined. The results are shown in Fig. 2.

[0028]

Fig. 2 shows the attenuation of the iridium complex produced by irradiation of the iridium hydride complex with the laser beam in degassed methanol (in the figure, open circle ○) and CH<sub>3</sub>OD (in the figure, solid circle ●), at the wavelength of 490 nm in the transient absorption spectrum. This attenuation complies with second-order reaction kinetics.

[0029]

It can be seen from the results shown in Fig. 2 that the rate of attenuation of the iridium complex is notably delayed when CH<sub>3</sub>OD is used instead of methanol (CH<sub>3</sub>OH).

[0030]

In Fig. 2, the figure incorporated at the upper right portion is a graph showing the second-order plot of the absorbance (time dependency of inverse of absorbance) when the iridium hydride complex was irradiated with the laser beam. From the slope of the second-order plot shown in Fig. 2, a large kinetic deuterium isotope effect ( $k_H/k_D = 8.2$ ) was determined.

[0031]

### Example 3

After producing the iridium complex and proton through deprotonation of the iridium hydride complex in the similar manner as in Example 1, this iridium complex was protonated by trifluoromethanesulfonic acid in methanol. As a result, the iridium hydride complex was produced in the ground state.

[0032]

In the presence of trifluoromethanesulfonic acid at various concentrations ( $3.0 \times 10^{-5}$  M,  $3.9 \times 10^{-5}$  M,  $4.8 \times 10^{-5}$  M or  $5.6 \times 10^{-5}$  M), change with time in attenuation of the absorbance in the transient absorption spectrum of the

$2.4 \times 10^{-4}$  M iridium hydride complex at a wavelength of 490 nm was determined. The results are shown in Fig. 3 (a). Also, dependency on the trifluoromethanesulfonic acid concentration of the first-order reaction rate constant determined from change with time of this attenuation of the absorbance is shown in Fig. 3 (b).

[0033]

As shown in Fig. 3 (a), it can be seen that the attenuation of the transient absorption spectrum of the iridium hydride complex complies first-order reaction kinetics in the presence of trifluoromethanesulfonic acid ( $3.0 \times 10^{-5}$  M,  $3.9 \times 10^{-5}$  M,  $4.8 \times 10^{-5}$  M or  $5.6 \times 10^{-5}$  M).

[0034]

Furthermore, it can be seen from the results shown in Fig. 3 (b) that the first-order reaction rate constant increases linearly according to the increase in the concentration of trifluoromethanesulfonic acid.

[0035]

It can be seen from these results that deprotonation of the iridium hydride complex and protonation of the iridium complex take place upon irradiation of the iridium hydride complex with visible light.

[0036]

#### Example 4

The iridium hydride complex was dissolved in degassed methanol to prepare a solution having a concentration of the iridium hydride complex of  $1.5 \times 10^{-4}$  M.

[0037]

Next, the resulting solution was irradiated with a laser beam having a wavelength of 355 nm at 1.5 mJ/pulse to excite the iridium hydride complex. Therefore, production of the excited state and photoacid generation of the iridium hydride complex were observed from alteration of the transient absorption spectrum by picosecond laser flash photolysis. The results are shown in Fig. 4. In Fig. 4, each transient absorption spectrum is represented as the results at each time passed, in the direction indicated by the arrowhead in the figure.

[0038]

It can be seen from the results of the picosecond time-resolved transient absorption spectrum shown in Fig. 4 that increase in absorption band derived from production of the excited state of the iridium hydride complex having an absorption maximum at a wavelength of 500 nm is found up to 100 ps from the irradiation of the laser beam.

[0039]

#### Example 5

Change with time in increase of the absorbance at a wavelength of 500 nm in the picosecond time-resolved transient absorption spectrum of the iridium hydride complex was determined up to the time of 200 ps, in the same manner as in Example 4. The results are shown in Fig. 5.

[0040]

It can be seen from the results shown in Fig. 5 that this change in the absorbance complies the first-order reaction kinetics, and the first-order reaction rate constant is determined to be  $1.4 \times 10^{10} \text{ s}^{-1}$ .

[0041]

Example 6

Change with time in increase of the absorbance at a wavelength of 575 nm in the picosecond time-resolved transient absorption spectrum of the iridium hydride complex was determined from irradiation of the light up to the time of 4000 ps, in the same manner as in Example 4. The results are shown in Fig. 6. In Fig. 6, in the inserted figure at the right bottom portion thereof, "a" shows the first-order plot of change with time of the absorbance in the case of the iridium hydride complex, and "b" shows the first-order plot of change with time of the absorbance in the case of the deuterated iridium hydride complex.

[0042]

It can be seen from the results shown in Fig. 6 that the transient absorption spectrum ascribed to the production of the iridium complex by deprotonation from the iridium hydride complex is increased upon generation of the photoacid from the excited state of the iridium hydride complex after 4000 ps passed from the irradiation of the light. Also, this change in the absorbance complies the first-order reaction kinetics, and the rate of generation of the photoacid from the excited state of the iridium hydride complex calculated from the slope of the first-order plot is determined to be  $8.1 \times 10^8 \text{ s}^{-1}$ .

[0043]

Example 7

A 0.6 mL solution including the iridium hydride complex ( $8.2 \times 10^{-3} \text{ M}$ ) dissolved in degassed  $\text{CD}_3\text{OD}$  was irradiated with a monochromatic visible ray having a wavelength of 430 nm, and change with time of the ratio ( $[\text{I}]/[\text{I}]_0$ ) of the concentration of the iridium hydride complex to the initial concentration in the photoinduced exchange reaction between hydrogen and deuterium of the iridium

hydride complex was determined. The results are shown in Fig. 7.

[0044]

It can be seen from the results shown in Fig. 7 that the  $^1\text{H}$ -NMR signal at -10.7 ppm ascribed to proton of the hydride of the iridium hydride complex disappears with passage of time from the irradiation of the ray, when the solution of the iridium hydride complex in  $\text{CH}_3\text{OD}$  is irradiated with the ray in its steady state, as shown in the region "a" in Fig. 7.

[0045]

Next, to the solution of 0.6 mL irradiated with the ray was added 0.15 mL of water [ $\text{H}_2\text{O}/\text{CD}_3\text{OD} = 1:4$  (volume ratio)], and kept in a dark place. As a result, as shown in the region "b" in Fig. 7, no alteration in the  $^1\text{H}$ -NMR signal was caused.

[0046]

Moreover, when the aforementioned solution was irradiated as a sample with a monochromatic visible ray having a wavelength of 430 nm, proton of the hydride of the iridium hydride complex appeared again as shown in the region "c" in Fig. 7.

[0047]

Furthermore, when 0.15 mL of water [ $\text{H}_2\text{O}/\text{CD}_3\text{OD} = 1:2$  (volume ratio)] was added to 0.75 mL of the aforementioned solution, and the resulting solution was irradiated as a sample with the monochromatic visible ray having a wavelength of 430 nm, the proton signal of the hydride increased to 80% of the amount of the charged iridium hydride complex represented by the formula (I), as shown in the region "d" in Fig. 7.

[0048]

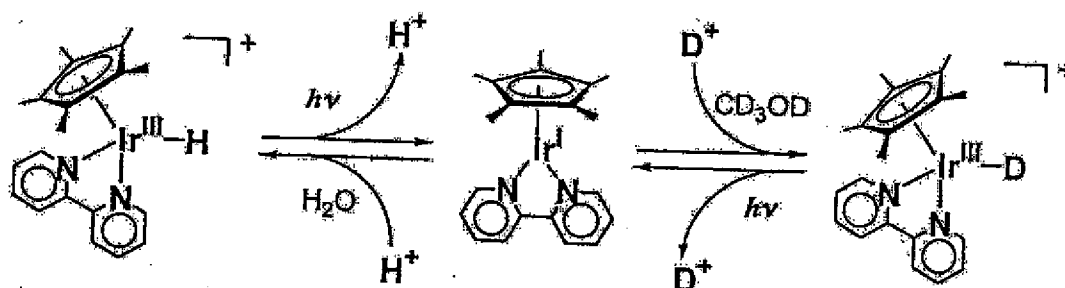


From the foregoing results, it can be seen that as shown in the following Scheme 2:

[0049]

[Ka 4]

Scheme 2



[0050]

exchange between hydrogen and deuterium of the iridium hydride complex in the steady state in  $\text{CD}_3\text{OD}$  efficiently takes place.

[0051]

#### Example 8

When 0.6 mL of a  $8.2 \times 10^{-3}$  M iridium hydride complex solution in  $\text{CD}_3\text{OD}$  was irradiated with a faint monochromatic light (wavelength: 430 nm, light intensity: about  $10^{-9}$  einstein $\cdot\text{s}^{-1}$ ) from a xenon lamp, it was found that the photohydrogen•deuterium (H/D) exchange reaction rapidly proceeded, in the same manner as in the case shown in Fig. 7 (a).

[0052]

Accordingly, it is confirmed from the above that the iridium hydride complex serves as a very high-sensitive photoacid generator.

[0053]

#### Example 9

When the iridium hydride complex was dissolved in water at 25°C, the solubility was equal to or greater than 90 mg/3.5 mL of water (2.6% by weight). It is confirmed from the above that the iridium hydride complex exhibits high solubility in water. Also, solubility in methanol and acetonitrile was similarly examined, and high solubility is confirmed in either of the solvents, similarly to water.

[Industrial Applicability]

[0054]

Because the iridium hydride complex of the present invention serves as a highly sensitive photoacid generator, and shows excellent solubility in water, an organic solvent or the like, it can be used as an acid generator for chemically-amplified photoresists or color filters for liquid crystal, and in addition, can be widely applied in photographic-related or printing-related fields, or the like.

[Brief Description of the Drawings]

[0055]

[Figure 1] A graph showing a relationship between the absorbance and the wavelength in the transient absorption spectrum of an iridium hydride complex.

[Figure 2] A graph showing change with time of the absorbance of an iridium complex.

[Figure 3] (a) is a graph showing change with time in attenuation of the absorbance in the transient absorption spectrum of an iridium hydride complex; and (b) is a graph showing dependency on the concentration of trifluoromethanesulfonic acid of a first-order reaction rate constant determined from (a).

[Figure 4] A graph showing the picosecond time-resolved transient absorption spectrum of an iridium hydride complex.

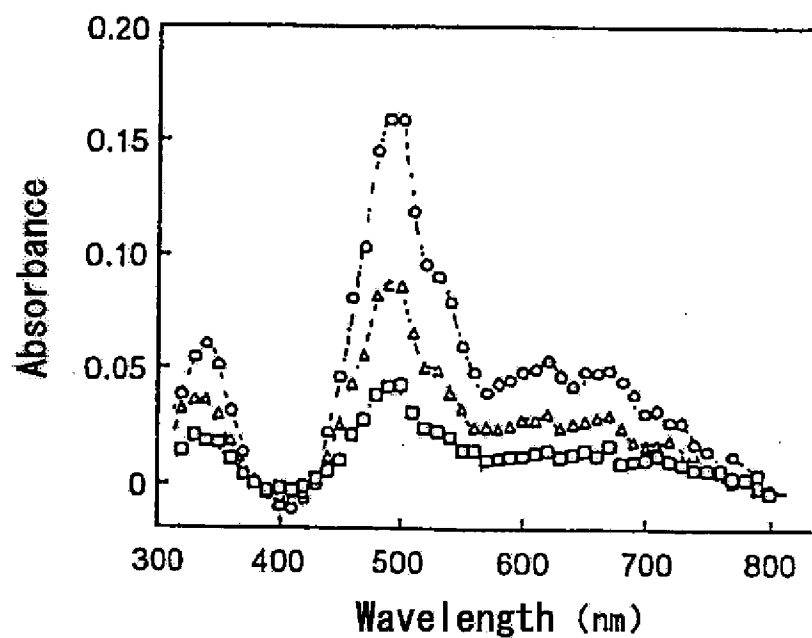
[Figure 5] A graph showing change with time in increase of the absorbance at a wavelength of 500 nm in the picosecond time-resolved transient absorption spectrum of an iridium hydride complex.

[Figure 6] A graph showing change with time in increase of the absorbance at a wavelength of 575 nm in the picosecond time-resolved transient absorption spectrum of an iridium hydride complex.

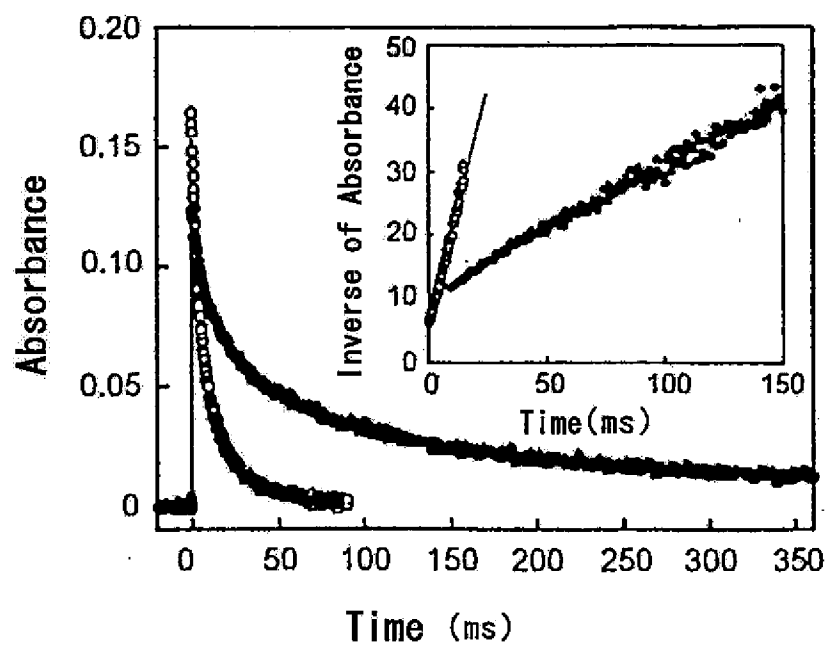
[Figure 7] A graph showing change with time of ratio ( $[I]/[I]_0$ ) of the concentration of an iridium hydride complex to the initial concentration.

[Document] Drawings

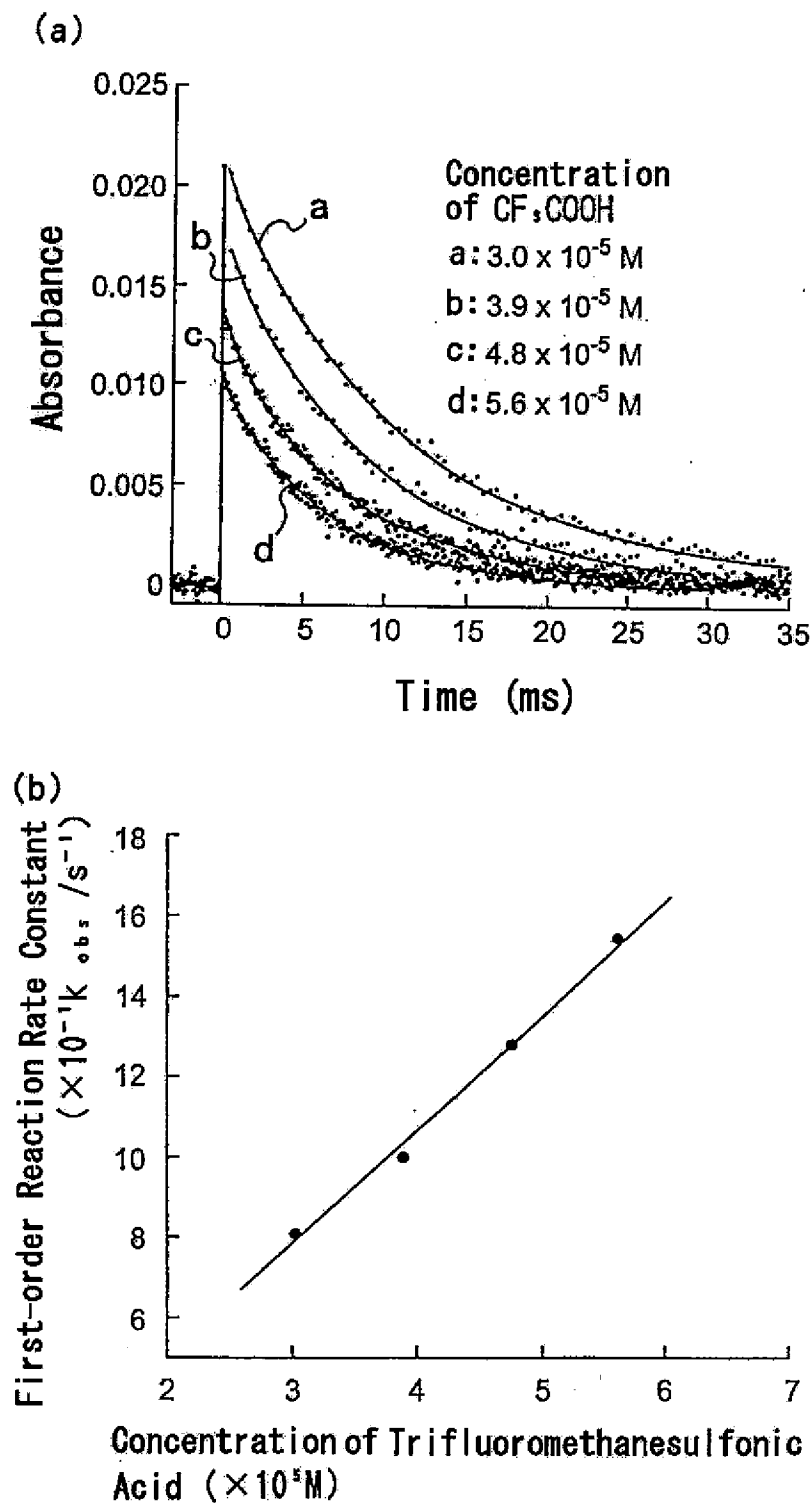
[Figure 1]



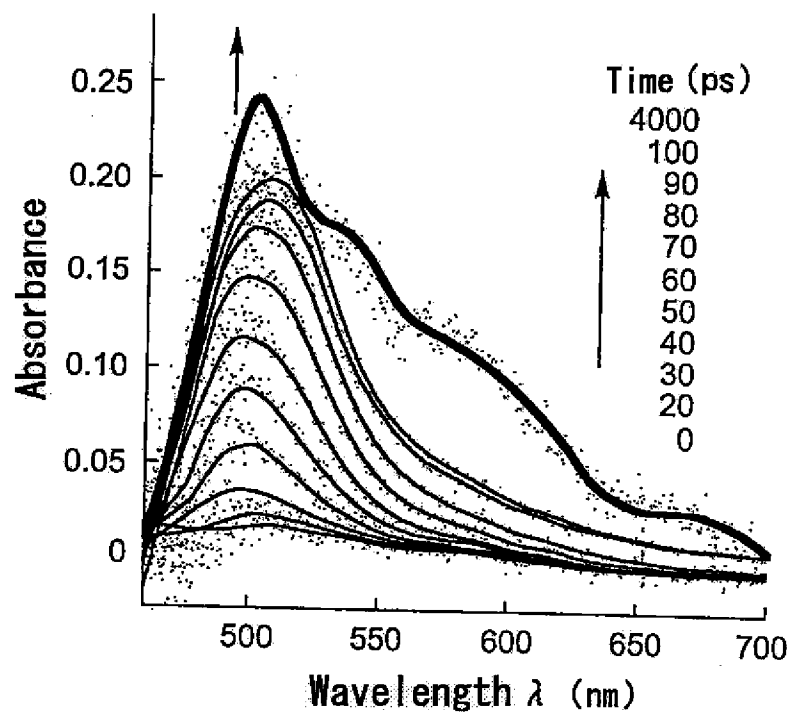
[Figure 2]



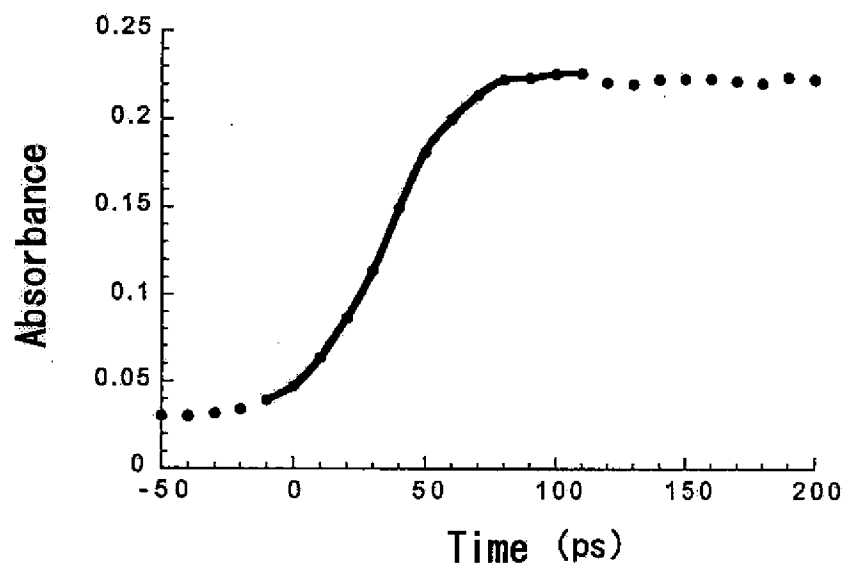
[Figure 3]



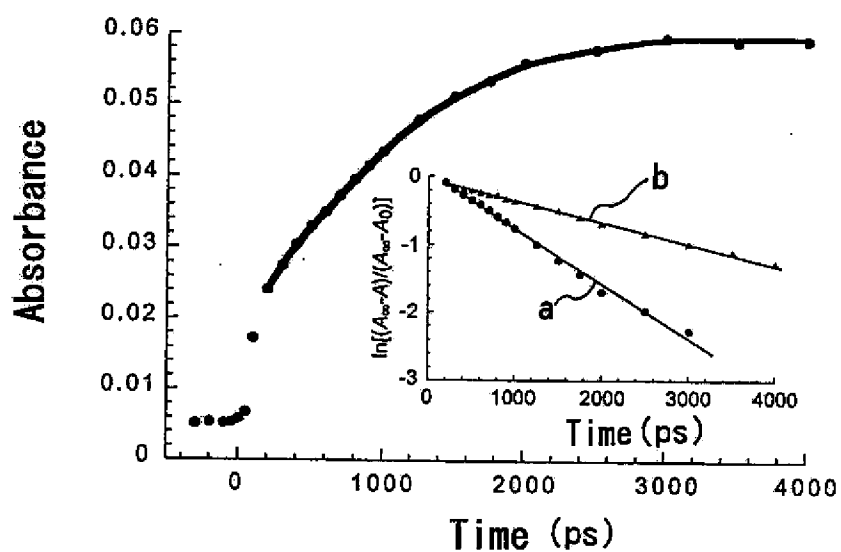
[Figure 4]



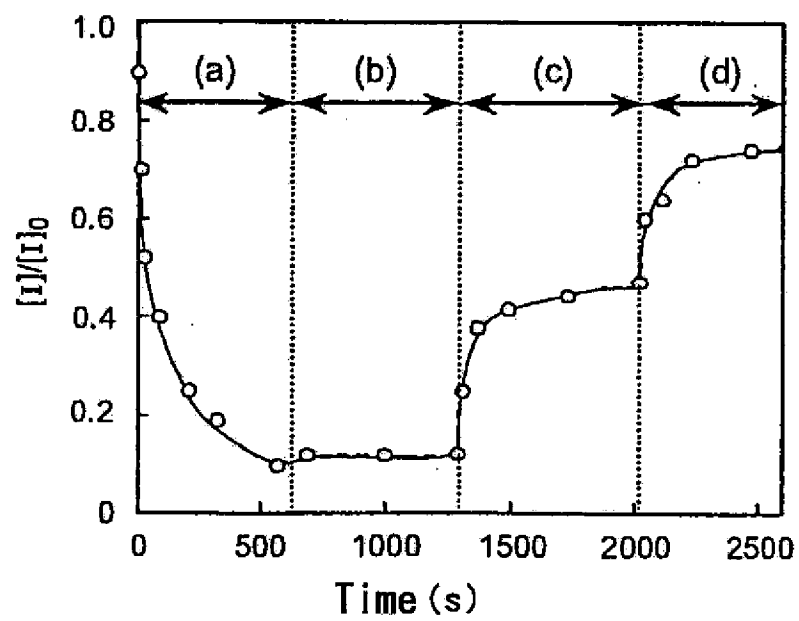
[Figure 5]



[Figure 6]



[Figure 7]



## BACKGROUND INFORMATION OF APPLICANT

Identification Number

[396020800]

1. Date of Conversion

February 24, 1998

[Reason for Conversion]

Change of Name

Address

1-8, Honcho 4-chome, Kawaguchi-shi,  
Saitama

Name

Japan Science and Technology Agency



## BACKGROUND INFORMATION OF APPLICANT

- |                       |             |
|-----------------------|-------------|
| Identification Number | [503360115] |
|-----------------------|-------------|
- 
- |    |                         |  |
|----|-------------------------|--|
| 1. | Date of Conversion      | October 1, 2003                                |
|    | [Reason for Conversion] | New Registration                               |
|    | Address                 | 1-8, Honcho 4-chome, Kawaguchi-shi,<br>Saitama |
|    | Name                    | Japan Science and Technology Agency            |
- 
- |    |                         |  |
|----|-------------------------|--|
| 2. | Date of Conversion      | April 1, 2004                                  |
|    | [Reason for Conversion] | Change of Name                                 |
|    | Address                 | 1-8, Honcho 4-chome, Kawaguchi-shi,<br>Saitama |
|    | Name                    | Japan Science and Technology Agency            |